



DOCKET NO.: H0498.70170US00

Applicant or Patentee: George Allen et al.  
Serial or Patent No: 10/611,416  
Confirmation No.: 1935  
Filed or Issued: July 1, 2003  
For: METHOD AND APPARATUS FOR MEASURE OF SULFATE

### STATEMENT REGARDING SMALL ENTITY STATUS

(Choose A, B, C or D)

**A. [ ] SMALL BUSINESS**

I am the owner or an official of the following small business empowered to act on behalf of the concern identified below.

The small business concern identified below qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

**B. [ X ] NON-PROFIT**

I am the owner or an official of the following non-profit concern empowered to act on behalf of the concern identified below.

The non-profit organization identified below qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code.

**C. [ ] I am an individual inventor.**

The inventor identified below qualifies as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code.

NAME OF CONCERN or INVENTOR:

ADDRESS OF CONCERN or INVENTOR:

**D. [ ] Applicant is no longer a small entity.**

**[ ] Applicant does not wish to claim small entity status in this application.**

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## LIST OF OTHER CONCERNS HAVING RIGHTS IN THE INVENTION

If the rights held by the small business or nonprofit organization or individual inventor are not exclusive, each additional individual concern or organization having rights to the invention is listed below. (**If your rights are exclusive, then no such person, concern or organization should be selected.**)

- no such person, concern or organization  
 persons, concerns or organizations listed below\*

\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

FULL NAME

ADDRESS

[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

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The concern or inventor identified above acknowledges the duty to notify the U.S. PTO of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

- / PRINTED NAME OF PERSON SIGNING:  
✓ TITLE OF PERSON (if other than owner):  
✓ ADDRESS OF PERSON SIGNING:

Signature

Joyce Brinton, Director  
Office for Technology and Trademark Licensing  
Harvard University

12/19/03

Date

HARVARD UNIVERSITY  
TECHNOLOGY & TRADEMARK LICENSING  
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CHECK BOX, if applicable:

DUPLICATE

### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	Fee
	TOTAL CLAIMS (37 CFR 1.16(c))	84-20=	64x	\$ 18.00	= \$ 1,152.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	6-3=	3 x	\$ 86.00	= \$ 258.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$ 290.00	= \$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$ 770.00
	Fee for Petition for Extension of Time (if any)			\$ 0.00	
	Other Fees (if any)			\$ 130.00	
	Total of above Calculations =			\$ 2,310.00	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)			\$ 1155.00	
	Assignment Recordation Fee (if any)			\$ 0.00	
	TOTAL =			\$ 1,155.00	

1. A check in the amount of \$1,155.00 is enclosed.

#### General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a.  If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 or 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b.  The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16 1.17 or 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Timothy J. Oyer, P.h.D., Reg. No. 36,628

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Docket No. H0498.70170US00

Date: January 7, 2004

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